

$NSym \hookrightarrow \mathcal{Q}_\infty$ is not a Hopf map

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Abstract

In this note, we show that there is no Hopf algebra structure on \mathcal{Q}_∞ , the algebra of pseudo-roots of noncommutative polynomials, which extends the one existing on $NSym$ (one of its famous subalgebras).

1 Introduction

The algebra \mathcal{Q}_n was introduced in 2001 by Gelfand, Retakh, and Wilson as a model for factoring noncommutative polynomials [5]. It is a graded, quadratic algebra with the remarkable property of remaining Koszul despite having several large free subalgebras (cf. [17] and [14] for two independent proofs). Because of its natural origins, and because of the combinatorial nature of its generators and relations, it is interesting to ask whether or not it is a Hopf algebra.

Here we answer a related question inspired by the famous square of combinatorial Hopf algebras

$$\begin{array}{ccc} NSym & \hookrightarrow & \mathfrak{S}Sym \\ \downarrow & & \downarrow \\ Sym & \hookrightarrow & QSym \end{array}$$

studied in great detail by a great many authors (cf. [1, 2, 7, 8, 9, 12, 13] and the references therein). $NSym_n$, the algebra of noncommutative symmetric functions in n variables, may be naturally identified as a subalgebra of \mathcal{Q}_n . As a first step towards answering the larger question, we show that this identification cannot be extended to a map of Hopf algebras.

The next section is critical to the argument. It summarizes results in the theory of noncommutative polynomials and points to why the map $\Phi : NSym \hookrightarrow \mathcal{Q}_\infty$ chosen later is the natural one. Sections 3 and 4 introduce the algebras \mathcal{Q}_∞ and $NSym$ respectively. The final section contains the calculations showing that Φ is not a Hopf algebra map.

Notation

When convenient, we use the combinatorists' notation. In particular $[n] = \{1, 2, \dots, n\}$. Also, we will have occasion to denote the collection of subsets of $\{1, 2, \dots, n\}$ of cardinality d as $\binom{[n]}{d}$, and to write $A \in \binom{[n]}{d}$ to mean A is

a particular subset of $[n]$ of cardinality d . Finally, we write $\gamma \models n$ when γ is a *composition* of n (any sequence of positive integers which sum to n).

Throughout this note, D is a noncommutative field with center $F \supseteq \mathbb{Q}$.

2 Factoring Noncommutative Polynomials

A good reference for the first part of this section is Lam's book [11]. Let D be a division ring, and let $f \in D[t]$ be a monic polynomial of degree n in one variable over D . Because D is noncommutative, there is not a unique way to "evaluate" f at an element $x \in D$.

Example. Over the quaternions, with $f(t) = i + jt = i + tj$ and $t \mapsto k$ we have

$$i + j(k) = 2i \neq 0 = i + (k)j.$$

We agree to evaluate f by always first writing it as a *left*-polynomial

$$f(t) = a_0 + a_1t + \cdots + a_{n-1}t^{n-1} + t^n,$$

and then plugging in x

$$f(x) = a_0 + a_1x + \cdots + x^n.$$

Theorem 1. *If $f \in D[t]$ is a monic polynomial of degree n , then x is a root of f (that is, $f(x) = 0$) if and only if there exists a polynomial g of degree $n - 1$ such that $f(t) = g(t)(t - x)$.*

Note. Remember that you must expand the expression $g(t)(t - x)$ before evaluating. So if $g(t) = b_0 + b_1t + \cdots + b_{n-2}t^{n-2} + t^{n-1}$ then the theorem is really asserting the equality of f and $(b_0t + b_1t^2 + \cdots + t^n) - (b_0x + b_1xt + \cdots + b_{n-2}xt^{n-2} + xt^{n-1})$.

Expanding on this note, if a polynomial has a factorization $f(t) = g(t)h(t)$ it is generally not the case that $f(x) = g(x)h(x)$. In particular, roots of g are not necessarily roots of f .

Example. Over the quaternions, the polynomial $f(t) = t^2 - (i + j)t - k$ has a factorization $f(t) = (t - j)(t - i)$ but exactly one root, $x = i$.

Theorem 2. *Let $f(t) = g(t)h(t)$ be a factorization of f , and suppose $x \in D$ satisfies $h(x) = a$. If $a = 0$, then $f(x) = g(x)h(x)$, otherwise, $f(x) = g(axa^{-1})h(x)$.*

Definition 1. *We call the elements y_r of D showing up in a factorization $(t - y_n)(t - y_{n-1}) \cdots (t - y_2)(t - y_1)$ of f the pseudo-roots of f , y_1 additionally being an actual root.*

In [4] Gelfand and Retakh found a closed-form expression for the pseudo-roots involving the Vandermonde quasideterminant¹.

¹For more on the quasideterminant, consult the survey article [3].

Definition 2. Given elements x_1, x_2, \dots, x_r in a division ring D , the Vandermonde quasideterminant $V(x_1, x_2, \dots, x_r)$ is the $(1, r)$ -th quasideterminant of the Vandermonde matrix:

$$\begin{vmatrix} x_1^{r-1} & x_2^{r-1} & \cdots & \boxed{x_r^{r-1}} \\ \vdots & \vdots & & \vdots \\ x_1^1 & x_2^1 & \cdots & x_r^1 \\ 1 & 1 & \cdots & 1 \end{vmatrix}.$$

Definition 3 (Gelfand-Retakh, [4]). If $f[t]$ is a monic polynomial of degree n with n roots x_1, \dots, x_n then we say that the roots are independent if $V(x_{i_1}, x_{i_2}, \dots, x_{i_r})$ is defined for all $1 \leq r \leq n$ and all orderings (i_1, \dots, i_n) of the roots.

Theorem 3 (Gelfand-Retakh, [4]). If f has independent roots x_1, \dots, x_n , then the pseudo-roots are given by the formulas:

$$\begin{aligned} y_1 &= x_1 \\ y_2 &= V(x_1, x_2)x_2V(x_1, x_2)^{-1} \\ &\vdots \\ y_n &= V(x_1, x_2, \dots, x_n)x_nV(x_1, x_2, \dots, x_n)^{-1}. \end{aligned}$$

In their paper, they go on to prove a noncommutative Vieta theorem.

Theorem 4. The following rational functions in the variables x_1, \dots, x_n are symmetric with respect to the \mathfrak{S}_n action on the x indices:

$$e_1 = y_n + y_{n-1} + \cdots + y_1 \tag{1}$$

$$\vdots \tag{2}$$

$$e_r = \sum_{i_r > \cdots > i_1} y_{i_r} \cdots y_{i_2} y_{i_1} \tag{3}$$

$$\vdots \tag{4}$$

$$e_n = y_n y_{n-1} \cdots y_2 y_1. \tag{5}$$

They conjecture that these are truly the elementary noncommutative symmetric functions. In other words, if g is a rational function in the x_i which is symmetric, then it is a polynomial in the e_r . This important conjecture is proven by Wilson in [19]. Equally important to this note, he proves that the y_r , and hence the e_r , are algebraically independent.

3 The algebra \mathcal{Q}_∞

When the roots x_1, \dots, x_n above are independent, we may change the order of the roots and get another (full) set of pseudo-roots. In particular, we should replace the symbol y_r by something like $y_{(i_1, \dots, i_n), r}$... reserving y_1, \dots, y_n for the fixed ordering $(1, 2, \dots, n)$.

Theorem 5 (G-R-W, [5]). *The symbols $y_{(i_1, \dots, i_n), r}$ do not depend on the last $n - r$ roots, and do not depend on the ordering of the first $r - 1$ roots.*

So we settle on the notation $y_{\{i_1, \dots, i_{r-1}\}, i_r}$ for the collection of all pseudo-roots associated to a polynomial f .

Unlike the set $\{y_r \mid 1 \leq r \leq n\}$, the set $\{y_{A,i} \mid A \in \binom{[n]}{r-1}, i \in [n] \setminus A\}$ is not algebraically independent (not even linearly independent over \mathbb{Q}). In [5], Gelfand, Retakh, and Wilson introduce the algebra \mathcal{Q}_n as a model for the relationships between the pseudo-roots of polynomials of degree n .

Definition 4. *Let \mathcal{Q}_n be the algebra over \mathbb{Q} with generators $\{x_{A,i} \mid A \in \binom{[n]}{r-1}, 1 \leq r \leq n, i \in [n] \setminus A\}$ and relations*

$$x_{A \cup i, j} + x_{A, i} = x_{A \cup j, i} + x_{A, j}; \quad (6)$$

$$x_{A \cup i, j} \cdot x_{A, i} = x_{A \cup j, i} \cdot x_{A, j}. \quad (7)$$

for $i \neq j$ and $i, j \notin A$. Let \mathcal{Q}_∞ denote the direct limit of these algebras, the algebra with generators $\{x_{A,i} \mid A \subseteq \mathbb{N}, i \in \mathbb{N} \setminus A\}$ and relations given by (6) and (7).

Note that for any fixed ordering (i_1, \dots, i_n) of $[n]$, the subalgebra generated by $x_{\emptyset, i_1}, x_{\{i_1\}, i_2}, \dots, x_{\{i_1, i_2, \dots, i_{n-1}\}, i_n}$ is relation-free, as Wilson's theorem dictates. Note also that equation (6), plus induction, allows us to throw away generators of the form $x_{A,i}$ when $\max A \not\prec i$. Eliminating these generators and relation (6), we see that \mathcal{Q}_n is a quadratic algebra.

We introduce some notation to simplify the computations to come. Let y_r be shorthand for the generator $x_{\{1, 2, \dots, r-1\}, r}$, and let e_1, \dots, e_n denote the elements of \mathcal{Q}_n given by equations (1) through (5). Finally, when $A = \{a_1 < a_2 < \dots < a_r\}$, let $X(A) = x_{\emptyset, a_1} + x_{\{a_1\}, a_2} + \dots + x_{\{a_1, a_2, \dots, a_{r-1}\}, a_r}$. In particular, $X([n]) = e_1$; put $X(\emptyset) = 0$.

For the argument in Section 5, we will need to know a bit more about \mathcal{Q}_n .

A basis for \mathcal{Q}_n :

1. The symbols $\{X(A) \mid A \subseteq [n]\}$ generate \mathcal{Q}_n .
2. Suppose $A \in \binom{[n]}{r}$ and $0 \leq j \leq r$. We define $A^{(j)} := \{a_1, a_2, \dots, a_{r-j}\}$; i.e. A with its last j entries deleted.
3. Given $A \in \binom{[n]}{r}$ and $1 \leq j \leq r$, write $(A : j)$ for the sequence $(A^{(0)}, A^{(1)}, \dots, A^{(j-1)})$.
4. Suppose $B_i = (A_i : j_i)$, $1 \leq i \leq s$ be a collection of sequences of this type. Let $\mathcal{B} = (B_1, \dots, B_s)$ denote the concatenation of these sequences, $\mathcal{B} = (A_1, \dots, A_1^{(j_1-1)}, A_2, \dots)$. Let us call such a concatenation a *string*, and let $\text{wt } \mathcal{B} = j_1 + \dots + j_s$ and $\ell(\mathcal{B}) = s$.
5. Writing $X(\mathcal{B})$ for the product $X(A_1) \cdots X(A_1^{(j_1-1)}) \cdots X(A_s^{(j_s-1)})$ we have:

Theorem 6 (G-R-W, [5]). *The set of all symbols $X(\mathcal{B})$, $\ell(\mathcal{B}) = s$, where $j_i \leq |A_i|$ for all i , and for all $2 \leq i \leq s$, we have either $|A_i| \neq |A_{i-1}| - j_{i-1}$ or $A_i \not\subseteq A_{i-1}$ is a basis for \mathcal{Q}_n .*

In other words, $X(\mathcal{B})$ is not an element of our basis if and only if there exists $2 \leq i \leq s$ such that $A_i \subseteq A_{i-1}$ and $|A_i| = |A_{i-1}| - j_{i-1}$. More important for this note, we have the

Corollary. *\mathcal{Q}_n is a graded, quadratic algebra with symbols $X(A)$ all having degree one, and the i^{th} graded piece spanned by those symbols $X(\mathcal{B})$ allowed above satisfying $\text{wt } \mathcal{B} = i$.*

Note that $y_r = X([r]) - X([r-1])$ is homogeneous of degree one. We'll denote the i^{th} graded piece of \mathcal{Q}_n by $\mathcal{Q}_{n,i}$. Critical to the argument in Section 5 is the fact that

$$\mathcal{Q}_n \otimes \mathcal{Q}_n = \bigoplus_{(i,j) \in \mathbb{N}^2} \mathcal{Q}_{n,i} \otimes \mathcal{Q}_{n,j}.$$

4 The algebra $NSym$

The algebra $NSym$ (over \mathbb{Q}) has an interesting history. It is isomorphic [10] to one of the earliest Hopf algebras ever studied: the universal enveloping algebra of the free Lie algebra with countably many generators [16]. It is isomorphic [13] to the sum of Solomon's descent algebras [18] of type A. Neither of these explain its name. For that, let us recall the commutative algebra of symmetric functions Sym .

Definition 5. *Sym is the collection of all functions f on \mathbb{N} variables which may be written as a polynomial in the elementary symmetric functions:*

$$\begin{aligned} \Lambda_1 &= x_1 + x_2 + \cdots \\ \Lambda_2 &= x_1x_2 + x_1x_3 + \cdots + x_2x_3 + \cdots \\ &\vdots \\ \Lambda_r &= \sum_{i_1 < \cdots < i_r} x_{i_1} \cdots x_{i_r} \\ &\vdots \end{aligned}$$

This commutative \mathbb{Q} -algebra, freely generated by the Λ_r , has a bialgebra structure given by putting $\Delta(\Lambda_r) = \sum_{i+j=r} \Lambda_i \otimes \Lambda_j$ (where $\Lambda_0 = 1$). As it is a connected, graded bialgebra, it is automatically a Hopf algebra². In this note, we show that $\Phi : NSym \hookrightarrow \mathcal{Q}_\infty$ is not a bialgebra map, so further discussion of antipodes will be omitted.

With Section 2 and the discussion after definition 5 in mind, the following definition is now motivated.

²Under these conditions, one may uniquely define an antipode s as follows. For p in the first graded piece, put $s(p) = -p$ as you are obliged to do for primitive elements. For higher graded pieces, the definition is forced upon you by the coalgebra grading and induction.

Definition 6. *The algebra of noncommutative symmetric functions $NSym$ is the free noncommutative \mathbb{Q} -algebra with generators z_i indexed by \mathbb{N} (z_0 being identified with the unit in $NSym$). It is a connected, graded Hopf algebra with coalgebra structure (Δ, ε) given by $\Delta(z_r) = \sum_{i+j=r} z_i \otimes z_j$ and $\varepsilon(z_r) = \delta_{0r}$.*

In [6], the authors develop the theory of $NSym$ in parallel to the theory of Sym . They use the quasideterminant to prove analogs of assorted theorems for Sym which have determinantal proofs. Similar to the commutative version it has several important bases (complete and monomial symmetric functions, Schur functions, etc). The study of the structure constants for (co)multiplication with respect to these bases is a growing industry [1, 12, 15].

5 The Embedding

Let $NSym(n)$ denote the Hopf subalgebra generated by $\{z_0, \dots, z_n\}$. The algebra $NSym$ is a direct limit of the algebras $NSym(n)$. In what follows, we concentrate not on the map $\Phi : NSym \hookrightarrow \mathcal{Q}_\infty$, but on the map $\Phi_n : NSym(n) \hookrightarrow \mathcal{Q}_n$ for a fixed n .

As defined, $NSym(n)$ is a free algebra on generators z_1, \dots, z_n , which we may call the “noncommutative symmetric functions on n variables.” As we mentioned above, e_1, \dots, e_n **are** noncommutative symmetric functions on n variables. We let $\Phi_n(z_r) = e_r \in \mathcal{Q}_n$, and show that this is not a bialgebra map.

First, note that Φ is an injective algebra map since the e_r are algebraically independent in \mathcal{Q}_n . Second, note that the image $\Phi(NSym)$ is contained in the subalgebra of \mathcal{Q}_n generated by the pseudo-roots y_1, \dots, y_n . Call this subalgebra $\mathcal{P}(n)$.

Theorem 7. *There is no bialgebra structure on \mathcal{Q}_n which extends the bialgebra structure on $NSym(n)$.*

Corollary. *There is no Hopf algebra structure on \mathcal{Q}_∞ which extends the Hopf algebra structure on $NSym$.*

Proof. Let (Δ, ε) be the coalgebra structure on $NSym_n$. We assume the existence of a coalgebra structure $(\tilde{\Delta}, \tilde{\varepsilon})$ on \mathcal{Q}_n making Φ a coalgebra map. We needn’t look past the generators of $\mathcal{P}(n)$ to reach a contradiction. Calculations will be presented for the case $n = 3$ to make the exposition palatable.

The counit map on the generators y_r :

1. $\varepsilon(z_3) = 0$ and $\Phi(z_3) = e_3 = y_3 y_2 y_1$ implies at least one of the y_r must satisfy $\tilde{\varepsilon}(y_r) = 0$ ($\mathcal{P}(3)$ is free algebra, in particular, a domain), say it’s y_3 .
2. $\varepsilon(z_2) = 0$ and $\Phi(z_2) = e_2 = y_3 y_2 + y_3 y_1 + y_2 y_1$. After the assumption on y_3 above, we are left with $\tilde{\varepsilon}(y_2 y_1) = 0$. Suppose $\tilde{\varepsilon}(y_2) = 0$.

3. $\tilde{\varepsilon}(z_1) = 0$ and $\Phi(z_1) = y_3 + y_2 + y_1$ implies that y_1 is killed by $\tilde{\varepsilon}$ too.
4. Finally, relations (6) and (7) imply that $\tilde{\varepsilon}(x_{A,i}) = 0$ for all generators $x_{A,i}$ of \mathcal{Q}_n

The comultiplication map on the generators y_r :

1. We begin in complete generality, putting

$$\tilde{\Delta}(y_r) = \sum_{s \geq 0} \sum_{i+j=s} \sum_{\substack{|\mathcal{B}|=i, \\ |\mathcal{B}'|=j}} C(r)_{\mathcal{B},\mathcal{B}'} X(\mathcal{B}) \otimes X(\mathcal{B}').$$

2. Then, by the grading on $\mathcal{Q}_3 \otimes \mathcal{Q}_3$, $(\tilde{\varepsilon} \otimes 1)\tilde{\Delta}(y_r) = y_r = (1 \otimes \tilde{\varepsilon})\tilde{\Delta}(y_r)$ implies

- (a) $C(r)_{\emptyset,\mathcal{B}'} = C_{\mathcal{B},\emptyset} = 0$ when $|\mathcal{B}|, |\mathcal{B}'| \neq 1$,
- (b) $\sum_{|\mathcal{B}|=1} C_{\mathcal{B},\emptyset} X(\mathcal{B}) = y_r$,
- (c) $\sum_{|\mathcal{B}'|=1} C(r)_{\emptyset,\mathcal{B}'} X(\mathcal{B}') = y_r$,

3. *Conclude:* $\tilde{\Delta}(y_r) = 1 \otimes y_r + y_r \otimes 1 + f_r$, where f_r is a linear combination of symbols $X(\mathcal{B}) \otimes X(\mathcal{B}')$ belonging to $\bigoplus_{(i,j) \geq (1,1)} \mathcal{Q}_{3,i} \otimes \mathcal{Q}_{3,j}$.

More explicitly, I'm claiming $X(\{1, 2\}) \otimes y_1$ may appear in $\tilde{\Delta}(y_2)$, but terms like $1 \otimes 1$, $1 \otimes X(\{1\})$, and $y_3 y_1 \otimes 1$ won't.

We will show that there is no definition of $\tilde{\Delta}$ that satisfies $\Phi \circ \Delta z_2 = \tilde{\Delta} \circ \Phi(z_2) = \tilde{\Delta} e_2$. First we'll need to compute $\tilde{\Delta} e_1$. We know that $\Delta z_1 = z_1 \otimes 1 + 1 \otimes z_1$, so we must have

$$\begin{aligned} \tilde{\Delta}(e_1) &= e_1 \otimes 1 + 1 \otimes e_1 \\ &= (y_3 + y_2 + y_1) \otimes 1 + 1 \otimes (y_3 + y_2 + y_1), \\ \tilde{\Delta}(y_3 + y_2 + y_1) &= (1 \otimes y_3 + y_3 \otimes 1 + f_3) + (1 \otimes y_2 + y_2 \otimes 1 + f_2) \\ &\quad + (1 \otimes y_1 + y_1 \otimes 1 + f_1). \end{aligned}$$

This implies

$$f_1 + f_2 + f_3 = 0, \tag{8}$$

an equation taking place in $\bigoplus_{(i,j) \geq (1,1)} \mathcal{Q}_{3,i} \otimes \mathcal{Q}_{3,j}$.

Now we consider $\tilde{\Delta}(e_2)$. As the image of $\Phi(\Delta z_2)$, it must satisfy

$$\begin{aligned} 1 \otimes e_2 + e_1 \otimes e_1 + e_2 \otimes 1 &= \tilde{\Delta}(e_2) \\ &= \tilde{\Delta}(y_3 y_2 + y_3 y_1 + y_2 y_1) \\ &= (1 \otimes y_3 + y_3 \otimes 1 + f_3)(1 \otimes y_2 + y_2 \otimes 1 + f_2) \\ &\quad + (1 \otimes y_3 + y_3 \otimes 1 + f_3)(1 \otimes y_1 + y_1 \otimes 1 + f_1) \\ &\quad + (1 \otimes y_2 + y_2 \otimes 1 + f_2)(1 \otimes y_1 + y_1 \otimes 1 + f_1) \\ &= 1 \otimes e_2 + e_1 \otimes e_1 + e_2 \otimes 1 + (\star), \end{aligned}$$

where (\star) , appearing below, must be zero.

$$f_3\tilde{\Delta}y_2 + \tilde{\Delta}y_3f_2 + f_3\tilde{\Delta}y_1 + \tilde{\Delta}y_3f_1 + f_2\tilde{\Delta}y_1 + \tilde{\Delta}y_2f_1 - y_3 \otimes y_3 - y_2 \otimes y_2 - y_1 \otimes y_1 = 0. \quad (9)$$

Using equation (8) to simplify equation (9) we get

$$\begin{aligned} & (-f_1)(y_1 \otimes 1 + 1 \otimes y_1 + f_1) \\ & + (y_3 \otimes 1 + 1 \otimes y_3 + f_3)(-f_3) \\ & + (f_3)(y_2 \otimes 1 + 1 \otimes y_2 + f_2) \\ & + (y_2 \otimes 1 + 1 \otimes y_2 + f_2)(f_1) = y_3 \otimes y_3 + y_2 \otimes y_2 + y_1 \otimes y_1. \end{aligned}$$

Now, each term on the left belongs to $\bigoplus_{(i,j)>(1,1)} Q_{3,i} \otimes Q_{3,j}$ while each term on the right belongs to $Q_{3,1} \otimes Q_{3,1}$. So both must be zero. But the expression on the right (writing X_i for $X([i])$) is equal to

$$X_3 \otimes (X_3 - X_2) + X_2 \otimes (2X_2 - X_3 - X_1) + X_1 \otimes (2X_1 - X_2),$$

which is clearly nonzero. A contradiction. \square

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