

# LECTURE 7: REALIZING $U^-(\mathfrak{g})$ USING LUSZTIG'S NILPOTENT VARIETY

GIORGIA FORTUNA, PETER TINGLEY AND ALEJANDRO MORALES

This will be the first in a series of lectures on a geometric way of realizing the algebra  $U^-(\mathfrak{g})$ , the crystal  $B(\infty)$ , highest weight representations of  $\mathfrak{g}$ , and crystals of these highest weight modules. Note that, although we realize both the representation of  $\mathfrak{g}$  and the crystal of this representation, we do not realize it as a representation of  $U_q(\mathfrak{g})$ . This can be done (see [L]), but is much more difficult. The geometric spaces we use will be Lusztig's varieties  $\Lambda(V)$  from [L] (sometimes called Lusztig's nilpotent variety), and later on Nakajima's varieties  $\mathfrak{L}(v, w)$  from [N]. Note that throughout this story we assume that  $\mathfrak{g}$  is a *symmetric* Kac-Moody algebra. Some constructions can be extended to the symmetrizable case by “folding” arguments based on the observation that  $U(\mathfrak{g})$  for symmetrizable  $\mathfrak{g}$  can be embedded into  $U(\mathfrak{g}')$  for a related symmetric  $\mathfrak{g}'$ .

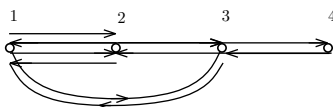
Today we define  $\Lambda(V)$ . Then we construct a product on  $\bigoplus_v \mathcal{M}(\Lambda(V)/GL(V))$  (i.e. on the sum of the spaces of invariant constructible functions on  $\Lambda(V)$  as the dimension vector  $\dim V$  varies) so that  $\mathcal{U}(\mathfrak{g}) \hookrightarrow \bigoplus_v \mathcal{M}(\Lambda(v)/GL(V))$ .

## 1. QUIVERS AND PATH ALGEBRAS

Fix a *symmetric* (generalized) Cartan matrix and its associated Dynkin diagram. For example,

$$\begin{bmatrix} 2 & -2 & -1 & 0 \\ -2 & 2 & -1 & 0 \\ -1 & -1 & 2 & -1 \\ 0 & 0 & -1 & 2 \end{bmatrix} \iff \begin{array}{cccc} & 1 & 2 & 3 & 4 \\ & \circ & \circ & \circ & \circ \\ & \longleftarrow & \longleftarrow & \longleftarrow & \longleftarrow \\ & \text{---} & \text{---} & \text{---} & \text{---} \\ & \circ & \circ & \circ & \circ \\ & \longleftarrow & \longleftarrow & \longleftarrow & \longleftarrow \\ & \text{---} & \text{---} & \text{---} & \text{---} \\ & \circ & \circ & \circ & \circ \\ & \longleftarrow & \longleftarrow & \longleftarrow & \longleftarrow \\ & \text{---} & \text{---} & \text{---} & \text{---} \\ & \circ & \circ & \circ & \circ \\ & \longleftarrow & \longleftarrow & \longleftarrow & \longleftarrow \\ & \text{---} & \text{---} & \text{---} & \text{---} \\ & \circ & \circ & \circ & \circ \end{array}$$

Let  $Q$  be the diagram with two directed arrows for each edge (note that there are no loops). So the above example would become



We denote by  $I$  the set of vertices, and by  $H$  the set of arrows. The *path algebra*  $\mathbb{C}Q$  of  $Q$  is the algebra which as a vector space is just the  $\mathbb{C}$  span of the paths in  $Q$ , and where multiplication is given by concatenation. So for example

$$\begin{aligned} (2 \longrightarrow 3)(1 \longrightarrow 2) &= 1 \longrightarrow 2 \longrightarrow 3 \quad \text{and} \\ (1 \longrightarrow 2)(2 \longrightarrow 3) &= 0. \end{aligned}$$

Let  $\pi_i$  be the path of length 0 at vertex  $i$ , which is a projection in  $\mathbb{C}Q$ .

Fix an  $I$  graded vector space  $V = V_1 + \dots + V_n$ . Let

$$(1) \quad E(V) := \{ \text{representations of } \mathbb{C}Q \text{ on } V \mid V_i = \pi_i V \}$$

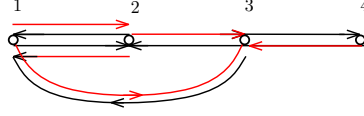
Explicitly  $E(V) := \bigoplus_{a:i \rightarrow j} \text{Hom}(V_i, V_j)$ , where the sum is over paths  $a$  from  $i$  to  $j$ .

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2. THE NILPOTENT VARIETY  $\Lambda(V)$ 

The variety  $E(V)$  is a bit too simple for our purposes. To define the nilpotent variety we need, we **color** one arrow corresponding to each edge of the underlying graph.



For each arrows  $a \in H$ , let  $\epsilon(a) = 1$  if  $a$  is black and  $-1$  if  $a$  is red. Since the arrows come in pairs corresponding to the edges of the underlying graph, we can define an involution  $\bar{\cdot}$  on  $H$  which interchanges the two edges in each pair (i.e. takes an arrow to the corresponding arrow with reversed orientation).

**Definition 2.1.** The *symplectic form* on  $E(V)$  is

$$\langle \cdot, \cdot \rangle : E(V) \times E(V) \rightarrow \mathbb{C}, (x, y) \rightarrow \text{tr} \sum_a \epsilon(a) y_{\bar{a}} x_a.$$

The *moment map* is

$$\mu : E(V) \rightarrow \mathfrak{gl}(V), x := (x_a)_{a \text{ arrows}} \rightarrow \left( \sum_{a:i \rightarrow} \epsilon(a) x_{\bar{a}} x_a \right)_{i \in I}$$

where  $\mathfrak{gl}(V) := \bigoplus_i \mathfrak{gl}(V_i)$ .

**Remark 2.1.**  $\langle \cdot, \cdot \rangle$  is in fact symplectic. The group  $\text{GL}(V) := \prod_{I \in i} \text{GL}(V_i)$  acts on  $E(V)$ , and  $\mu$  is the moment map for this action. So, the terminology is justified.

**Definition 2.2.** Set

$$\Lambda(V) := \{x : \mu(x) = 0, x \text{ nilpotent}^2\}.$$

Nilpotent here means that, for some  $N > 0$ , all paths in  $\mathbb{C}Q$  longer than  $N$  steps are sent to 0 in the representation  $x$ .

**Theorem 2.2.** [L, Theorem 12.9]  $\Lambda(V)$  is a Lagrangian subvariety of  $E(V)$ . In particular, it is pure half dimensional.

**Remark 2.3.** (i)  $\Lambda(V)$  is not irreducible,

(ii) People often write  $\Lambda(v)$ , only recording the graded dimension of  $V$ . One can get away with this because most constructions people consider are  $\text{GL}(V)$  invariant.

(iii)  $\Lambda(V)$  is sometimes defined as representations of the completed preprojective algebra  $\bar{\mathcal{P}} := \overline{\mathbb{C}Q} / \sum_{a:i \rightarrow} (-1)^{c(a)} \bar{a}a$  on  $V$ , where the completion is with respect to path length. This is easily seen to be equivalent.

3. REALIZATION OF  $\mathcal{U}^-(\mathfrak{g})$ 

Denote by  $\mathcal{M}(\Lambda(v)/\text{GL}(v))$  the space of  $\text{GL}(v) = \prod \text{GL}(v_i)$  invariant constructible functions on  $\Lambda(V)$ . Note that, by  $\text{GL}(V)$  invariance, this space is determined up to canonical isomorphism by the graded dimension  $v := \dim_I(V)$ , so it is justified to forget the underlying vector space of  $\Lambda(V)$  in the notation.

<sup>2</sup>The nilpotent condition is not needed in finite type

To define the product of  $\bigoplus_v \mathcal{M}(V(v))$ , we need to introduce a new variety: Fix  $V$  and a dimension vector  $v' < \dim V$ .

$$\Lambda(V; v') := \{(x, u) : x \in \Lambda(v), ux - \text{invariant subrepresentation } \dim U = v'\}.$$

Let  $v'' = \dim V - v'$ , and consider the following maps:

$$\begin{array}{ccc} & \Lambda(V, v')/GL(V) & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ \Lambda(V')/GL(V') \times \Lambda(V'')/GL(V'') & \xrightarrow{\quad} & \Lambda(V)/GL(V) \end{array}$$

Define  $*$  :  $\mathcal{M}(\Lambda(v')) \times \mathcal{M}(\Lambda(v'')) \rightarrow \mathcal{M}(\Lambda(v))$  by  $f * g \mapsto (\pi_2)_!(\pi_1^*)(f \cdot g)$  where  $\pi_1$  means *push forward* in the “six functors” sense. For us, it is enough to know the following explicit formulation: for a function  $F \in \mathcal{M}(\Lambda(V, v')/GL(V))$ ,

$$(\pi_2)_!(F) = \sum_{z \in \mathbb{C}} z \chi(\{[x, u] : \pi_2[x, u] = x, F[x, u] = z\}),$$

where  $\chi$  is Euler characteristic.

**Remark 3.1.** The astute reader will point out that, in writing  $\Lambda(V)/GL(V)$ , I am really defining a stack, not a variety or scheme. However, in the present context, all operations we need can be defined without this language:  $\pi_1^*$  of a  $GL(V') \times GL(V'')$  invariant constructible function on  $\Lambda(V') \times \Lambda(V'')$  is clearly a well defined  $GL(V)$  invariant function on  $\Lambda(V, v')$ . To make sense of  $(\pi_2)_!$ , one needs only notice that the fibers of this map are of the form  $\{V' \subset V : V' \text{ is } x \text{ invariant}\}$ . This is an ordinary variety (which looks much like a grassmannian), so its Euler characteristic makes sense, and our explicit definition of  $(\pi_2)_!$  is well defined.

One can check that  $*$  defines an associative product by showing that  $f * (g * h)$  and  $(f * g) * h$  can both be defined as  $(\pi_2)_!\pi_1^*$ , but with a new space in place of  $\Lambda(V, v')/GL(V)$ . This new space is roughly 2 step flags of submodules of  $V$ .

Let  $v_i = 1_i$ , the dimension vector which is 1 in degree  $i$ , and 0 elsewhere.

**Theorem 3.2.** Define a map  $\mathcal{U}^-(\mathfrak{g}) \hookrightarrow \bigoplus_v \mathcal{M}(\Lambda(v)/GL(v))$  by  $F_i \mapsto$  function 1 on  $\Lambda(1_i)$ . This is an embedding of associative algebras.

*Idea of proof.* First check Serre’s relations, which only involves rank 2 calculations. This is done by hand, and is a calculation involving Euler characteristics of grassmannians. This shows this map is a map of algebras. It remains to show that the map is injective. This can be done in various ways; one argument can be found in [L, Chapter 12].  $\square$

#### 4. EXERCISES

**Exercise 1.** For the quiver considered in the previous examples one can show that.

$$F_2^2 F_3 + F_3 F_2^2 = 2F_2 F_3 F_2.$$

For a slightly more difficult example, try

$$F_1^2 F_2 + F_2 F_1^2 = 2F_1 F_2 F_1.$$

In general, show that Serre’s relations always hold.

**Exercise 2.** One might guess that you could recover  $U_q^-(\mathfrak{g})$  by keeping track of the degree in homology contributing to each terms in the Euler characteristic. Show that this does not work. The case of the  $sl_3$  quiver should suffice.

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#### REFERENCES

- [N] Hiraku Nakajima. Instantons on ALE spaces, quiver varieties and Kac-Moody algebras.
- [L] G. Lusztig. Quivers, Perverse sheaves and quantized enveloping algebras. *Journal of the american mathematical society* **4** No 2, April 1991.